

Form **990-EZ**

Return of Organization Exempt From Income Tax

2019

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

- ▶ Do not enter social security numbers on this form, as it may be made public.
- ▶ Go to www.irs.gov/Form990EZ for instructions and the latest information.

Open to Public
Inspection

Department of the Treasury
Internal Revenue Service

A For the 2019 calendar year, or tax year beginning and ending		D Employer identification number
B Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Final return/terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	C Name of organization STEUBEN COUNTY UNITED WAY, INC.	23-7168857
	Number and street (or P.O. box if mail is not delivered to street address) Room/suite	E Telephone number
	317 S. WAYNE ST. 3D	260-665-6196
	City or town, state or province, country, and ZIP or foreign postal code	F Group Exemption Number ▶
G Accounting Method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual Other (specify) ▶		H Check <input type="checkbox"/> if the organization is not required to attach Schedule B (Form 990, 990-EZ, or 990-PF).
I Website: ▶ UNITEDWAYSTEUBEN.ORG		
J Tax-exempt status (check only one) — <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) () ◀ (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527		
K Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other		
L Add lines 5b, 6c, and 7b to line 9 to determine gross receipts. If gross receipts are \$200,000 or more, or if total assets (Part II, column (B)) are \$500,000 or more, file Form 990 instead of Form 990-EZ ▶ \$ 177,608.		

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (see the instructions for Part I)

Check if the organization used Schedule O to respond to any question in this Part I

Revenue	1 Contributions, gifts, grants, and similar amounts received	127,125.	
	2 Program service revenue including government fees and contracts		
	3 Membership dues and assessments		
	4 Investment income	SEE SCHEDULE O	
	5a Gross amount from sale of assets other than inventory		
	5b Less: cost or other basis and sales expenses		
	5c Gain or (loss) from sale of assets other than inventory (subtract line 5b from line 5a)	3.	
	6 Gaming and fundraising events:		
	a Gross income from gaming (attach Schedule G if greater than \$15,000)		
b Gross income from fundraising events (not including \$ of contributions from fundraising events reported on line 1) (attach Schedule G if the sum of such gross income and contributions exceeds \$15,000)	48,873.		
6c Less: direct expenses from gaming and fundraising events	14,271.		
6d Net income or (loss) from gaming and fundraising events (add lines 6a and 6b and subtract line 6c)	34,602.		
7a Gross sales of inventory, less returns and allowances			
7b Less: cost of goods sold			
7c Gross profit or (loss) from sales of inventory (subtract line 7b from line 7a)			
8 Other revenue (describe in Schedule O)	SEE SCHEDULE O	1,607.	
9 Total revenue. Add lines 1, 2, 3, 4, 5c, 6d, 7c, and 8		163,337.	
Expenses	10 Grants and similar amounts paid (list in Schedule O)	SEE SCHEDULE O	50,000.
	11 Benefits paid to or for members		
	12 Salaries, other compensation, and employee benefits		50,313.
	13 Professional fees and other payments to independent contractors		23,427.
	14 Occupancy, rent, utilities, and maintenance	SEE SCHEDULE O	5,660.
	15 Printing, publications, postage, and shipping		575.
	16 Other expenses (describe in Schedule O)	SEE SCHEDULE O	24,445.
17 Total expenses. Add lines 10 through 16		154,420.	
Net Assets	18 Excess or (deficit) for the year (subtract line 17 from line 9)		8,917.
	19 Net assets or fund balances at beginning of year (from line 27, column (A)) (must agree with end-of-year figure reported on prior year's return)		152,820.
	20 Other changes in net assets or fund balances (explain in Schedule O)	SEE SCHEDULE O	3,257.
	21 Net assets or fund balances at end of year. Combine lines 18 through 20		164,994.

LHA For Paperwork Reduction Act Notice, see the separate instructions.

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Part II Balance Sheets (see the instructions for Part II)

Check if the organization used Schedule O to respond to any question in this Part II

	(A) Beginning of year		(B) End of year
22 Cash, savings, and investments	119,721.	22	133,304.
23 Land and buildings		23	
24 Other assets (describe in Schedule O) SEE SCHEDULE O	66,015.	24	63,060.
25 Total assets	185,736.	25	196,364.
26 Total liabilities (describe in Schedule O) SEE SCHEDULE O	32,916.	26	31,370.
27 Net assets or fund balances (line 27 of column (B) must agree with line 21)	152,820.	27	164,994.

Part III Statement of Program Service Accomplishments (see the instructions for Part III)

Check if the organization used Schedule O to respond to any question in this Part III

What is the organization's primary exempt purpose? **SEE SCHEDULE O**

Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. In a clear and concise manner, describe the services provided, the number of persons benefited, and other relevant information for each program title.

Expenses
(Required for section 501(c)(3) and 501(c)(4) organizations; optional for others.)

28 SEE SCHEDULE O			
(Grants \$ 50,000.) If this amount includes foreign grants, check here <input type="checkbox"/>	28a		93,897.
29			
(Grants \$) If this amount includes foreign grants, check here <input type="checkbox"/>	29a		
30			
(Grants \$) If this amount includes foreign grants, check here <input type="checkbox"/>	30a		
31 Other program services (describe in Schedule O)			
(Grants \$) If this amount includes foreign grants, check here <input type="checkbox"/>	31a		
32 Total program service expenses (add lines 28a through 31a)	32		93,897.

Part IV List of Officers, Directors, Trustees, and Key Employees (list each one even if not compensated - see the instructions for Part IV)

Check if the organization used Schedule O to respond to any question in this Part IV

(a) Name and title	(b) Average hours per week devoted to position	(c) Reportable compensation (Forms W-2/1099-MISC) (if not paid, enter -0-)	(d) Health benefits, contributions to employee benefit plans, and deferred compensation	(e) Estimated amount of other compensation
JESSICA BRODOCK EXECUTIVE DIRECTOR	40.00	46,670.	0.	0.
AUSTIN BUDREAU PRESIDENT	2.00	0.	0.	0.
A.J. WILSON VICE PRESIDENT	2.00	0.	0.	0.
TIM NEWBY TREASURER	2.00	0.	0.	0.
JESSICA BIRD SECRETARY	2.00	0.	0.	0.
MARK COWEN DIRECTOR	1.00	0.	0.	0.
TOM SANBORN DIRECTOR	1.00	0.	0.	0.
LAURA MACKNICK DIRECTOR	1.00	0.	0.	0.
JERRY MCDERMID DIRECTOR	1.00	0.	0.	0.
KRISTA MILLER DIRECTOR	1.00	0.	0.	0.
ELISHA HAVER DIRECTOR	1.00	0.	0.	0.
SANDY GRIFFIN DIRECTOR	1.00	0.	0.	0.

Part V Other Information (Note the Schedule A and personal benefit contract statement requirements in the instructions for Part V.) Check if the organization used Sch. O to respond to any question in this Part V

33 Did the organization engage in any significant activity not previously reported to the IRS? If "Yes," provide a detailed description of each activity in Schedule O
34 Were any significant changes made to the organizing or governing documents? If "Yes," attach a conformed copy of the amended documents if they reflect a change to the organization's name. Otherwise, explain the change on Schedule O. See instructions
35a Did the organization have unrelated business gross income of \$1,000 or more during the year from business activities (such as those reported on lines 2, 6a, and 7a, among others)?
35b If "Yes" to line 35a, has the organization filed a Form 990-T for the year? If "No," provide an explanation in Schedule O
35c Was the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization subject to section 6033(e) notice, reporting, and proxy tax requirements during the year? If "Yes," complete Schedule C, Part III
36 Did the organization undergo a liquidation, dissolution, termination, or significant disposition of net assets during the year? If "Yes," complete applicable parts of Schedule N
37a Enter amount of political expenditures, direct or indirect, as described in the instructions
37b Did the organization file Form 1120-POL for this year?
38a Did the organization borrow from, or make any loans to, any officer, director, trustee, or key employee; or were any such loans made in a prior year and still outstanding at the end of the tax year covered by this return?
38b If "Yes," complete Schedule L, Part II, and enter the total amount involved
39 Section 501(c)(7) organizations. Enter:
39a Initiation fees and capital contributions included on line 9
39b Gross receipts, included on line 9, for public use of club facilities
40a Section 501(c)(3) organizations. Enter amount of tax imposed on the organization during the year under:
40b Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in any section 4958 excess benefit transaction during the year, or did it engage in an excess benefit transaction in a prior year that has not been reported on any of its prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I
40c Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Enter amount of tax imposed on organization managers or disqualified persons during the year under sections 4912, 4955, and 4958
40d Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Enter amount of tax on line 40c reimbursed by the organization
40e All organizations. At any time during the tax year, was the organization a party to a prohibited tax shelter transaction? If "Yes," complete Form 8886-T
41 List the states with which a copy of this return is filed
42a The organization's books are in care of
42b At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)?
42c At any time during the calendar year, did the organization maintain an office outside the United States?
43 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-EZ in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the tax year
44a Did the organization maintain any donor advised funds during the year? If "Yes," Form 990 must be completed instead of Form 990-EZ
44b Did the organization operate one or more hospital facilities during the year? If "Yes," Form 990 must be completed instead of Form 990-EZ
44c Did the organization receive any payments for indoor tanning services during the year?
44d If "Yes" to line 44c, has the organization filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O
45a Did the organization have a controlled entity within the meaning of section 512(b)(13)?
45b Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," Form 990 and Schedule R may need to be completed instead of Form 990-EZ. See instructions

46 Did the organization engage, directly or indirectly, in political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I

Part VI Section 501(c)(3) Organizations Only

All section 501(c)(3) organizations must answer questions 47-49b and 52, and complete the tables for lines 50 and 51. Check if the organization used Schedule O to respond to any question in this Part VI

47 Did the organization engage in lobbying activities or have a section 501(h) election in effect during the tax year? If "Yes," complete Sch. C, Part II
48 Is the organization a school as described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E
49a Did the organization make any transfers to an exempt non-charitable related organization?
49b If "Yes," was the related organization a section 527 organization?

50 Complete this table for the organization's five highest compensated employees (other than officers, directors, trustees, and key employees) who each received more than \$100,000 of compensation from the organization. If there is none, enter "None."

Table with 5 columns: (a) Name and title of each employee, (b) Average hours per week devoted to position, (c) Reportable compensation (Forms W-2/1099-MISC), (d) Health benefits, contributions to employee benefit plans, and deferred compensation, (e) Estimated amount of other compensation. Row 1 contains 'NONE'.

f Total number of other employees paid over \$100,000

51 Complete this table for the organization's five highest compensated independent contractors who each received more than \$100,000 of compensation from the organization. If there is none, enter "None."

Table with 3 columns: (a) Name and business address of each independent contractor, (b) Type of service, (c) Compensation. All rows are empty.

d Total number of other independent contractors each receiving over \$100,000

52 Did the organization complete Schedule A? Note: All section 501(c)(3) organizations must attach a completed Schedule A

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here: Signature of officer: JESSICA BRODOCK, EXECUTIVE DIRECTOR. Date: [blank]

Paid Preparer Use Only: Print/Type preparer's name: PHILLIP MCKENZIE; Preparer's signature: PHILLIP MCKENZIE; Date: 11/05/20; Check self-employed: []; PTIN: P00381490; Firm's name: KSM BUSINESS SERVICES, INC.; Firm's EIN: 35-2123203; Firm's address: 202 W. BERRY STREET, SUITE 600 FORT WAYNE, IN 46802; Phone no.: 260.496.8297

May the IRS discuss this return with the preparer shown above? See instructions